



# CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

CHECK BOX, if applicable:

☐ **DUPLICATE**

Submit an original and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231

Attorney Docket No. of prior application	83317.000004
First Named Inventor	Smith, Mary
Examiner Name	A. Thurston
Group / Art Unit	3722
Express Mail Label No.	ET193808183US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09/391,869  
filed on September 8, 1999, entitled POCKET INSERT FOR BOUND BOOK

## NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed if a utility or a plant application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on September 8, 1999 under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....
  - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed: 06/28/2001 GTEFFERA 00000107 09391869
  - ☐ PTO-1449
  - ☐ Copies of IDS Citations

01 FC:231	355.00 OP
02 FC:203	135.00 OP
03 FC:202	140.00 OP

PTO/SB/29 (10-00)

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.18(c) or (i))	35	- 20* =	15	X \$18.00 =	\$ 270.00
INDEPENDENT CLAIMS (37 CFR 1.18(b) or (i))	7	- 3** =	4	X \$80.00 =	320.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 116(d))				+ \$ =	
				BASIC FEE (37 CFR 1.16)	710.00
				Total of above Calculations =	1,300.00
Reduction by 50% for filing by a small entity (Note 37 CFR 1.27).					650.00
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				TOTAL =	650.00

6. ☒ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 033875.
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ \$650.00 is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_  
*(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)*
- 12.a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SSB/29A).
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503) Fees required under 37 CFR 1.17.
13. ☐ Other:

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**14. NEW CORRESPONDENCE ADDRESS**



Customer Number or Bar Code Label



23387

PATENT TRADEMARK OFFICE

or



New correspondence address below

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)

Brian B. Shaw

Signature

Registration No. (Attorney/Agent)

33,782

Date

June 26, 2001



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7/26/01  
#9/Pre  
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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mary Smith                      Atty. Docket: 83317.000004  
Serial No.: 09/391,869                      Examiner: J. Thurston  
Filed: September 8, 1999                      Art Unit: 3722  
Title: POCKET INSERT FOR BOUND BOOK

PRELIMINARY AMENDMENT

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the present Continuing Prosecution Application, please amend the application as follows:

In the Specification

On page 3, please replace the paragraph extending from line 7 through line 19, with the paragraph:

According to various preferred embodiment, the pocket sheet has a width at least half the width of the base sheet, more preferably at least 60 percent of the width of the base sheet. The base sheet and the pocket sheet may be formed of separate sheets of paper material that are adhered to one another, for example, along their edges, thus forming a seam having a thickness including only a single layer of the base sheet and a single layer of the pocket sheet or that may be formed of a single sheet of paper material with a fold line defining one of the edges of the sheets. This again results in the pocket insert being of a thickness that is limited to only two layers of the sheet material, either in a folded configuration or an independent base sheet and pocket sheet configuration. The pocket insert may include two pockets formed by the base sheet and the pocket sheet, with both pockets opening towards the base sheet first edge. The base

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